





- will comply with all relevant legal, fiduciary and regulatory requirements including those of your regulatory/supervisory body. This includes any requirements relating to the way in which you manage money held on your clients' behalf.
- are responsible for and must carry out due diligence on your clients including applying appropriate Anti-Money Laundering (AML), Know Your Customer (KYC) and Customer Due Diligence (CDD) processes and procedures.
- will provide us with evidence of these processes and procedures and you will make available the due diligence that you have carried out on specific clients upon our reasonable request.
- will not alter the name of your Client Monies Account(s) or Currency Client Account(s) on HSBCnet. This is to ensure your account





